

MISCELLANEOUS ERRORS & OMISSIONS INSURANCE

(CLAIMS FIRST MADE & REPORTED BASIS)



**Professional Liability
Insurance Services, Inc.SM
Underwriting Facilities**

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****PREMIUM FINANCING AVAILABLE****

1. Agency Name: _____ Requested effective date of policy: _____
2. Requested Limit of Liability: \$ _____ Deductible: \$ _____ (minimum \$1000)
3. Name of Applicant _____
4. Telephone Number: _____ Fax No. _____
5. Street Address: _____ City: _____ State: _____ Zip Code: _____
6. Date company was established: _____ Where is Company licensed or registered? _____
7. Average number of years of experience of key personnel in this field: _____
8. Describe nature of your business (mode or method of operation, type of services performed. where such operations are performed, etc.) _____

9. Do you require a written contract or agreement for services with your clients? YES NO
 - a. Hold harmless or indemnity agreements insuring to your benefit? YES NO
 - b. Hold harmless or indemnity agreements insuring to your client's benefit? YES NO
10. Do you utilize a procedures manual? YES NO
11. Gross Fees or Revenues: Present financial year: \$ _____ Est. Next financial year: \$ _____ Est.
12. Loss Control – What safeguards or procedures do you employ to avoid liabilities or losses? _____

13. Are you or any of your employees members of any professional organization relating to the services to be insured? YES NO
14. If yes, please list: _____
15. Have any claims or suits been made during the past five years against the Applicant or any of its predecessors in business, subsidiaries or affiliates or against any of the past or present partners, owners, officers, salespersons, or employees? YES NO
It is agreed that if there is knowledge of any such fact, circumstance, or situation, any claim subsequently emanating therefrom shall be excluded from coverage under the insurance being applied for.
16. Is the Applicant aware of any circumstances, alleged acts, errors or omissions, or of any offenses which may reasonably be expected to result in a claim being made against the persons or entities described above? YES NO
17. Has the Applicant or any of its predecessors in business or subsidiaries or affiliates or any of the past or present partners, owners, officers, salespersons or employees been investigated and/or cited by any administrative or regulatory agency for violations arising out of their activities? YES NO
18. Please provide the following information for similar insurance, if any, carried during the last five years. If none carried, state so. Include any coverage which may be directly related or respond in part to the exposure.

<u>Policy Period</u>	<u>Renewal Date</u>	<u>Carrier</u>	<u>Limit</u>	<u>Deductible</u>	<u>Premium</u>
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

19. Additional coverages desired: Cont. BI/PD Defense Outside Limits Aggregate Deductible

(Additional Information may be required).

In addition to all other terms and conditions: Applicable in Kentucky. Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.